

15533 U.S. PTO
07/28/03

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 210-007-2
First Inventor Herry (Z-X) Chen
Title Preparation of Bromine-Containing Hydroxy-Functional Copolymers
Express Mail Label No. EV 260573372 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages 37] (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of (when there is an assignee) ☒ Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: "Transmittal" Letter

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09 / 819,310
Prior application Information: Examiner: Duc Truong Group Art Unit: 1711

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 33461 (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name SULLIVAN LAW GROUP
1850 North Central Avenue
Suite 1140
Address
City Phoenix State AZ Zip Code 85004-4586
Country USA Telephone (602) 956-6161 Fax (602) 956-6262

Name (Print/Type) Stephen T. Sullivan Registration No. (Attorney/Agent) 32,444
Signature [Signature] Date July 28, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Patents, Trademarks, Copyrights,
Related Intellectual Property Law

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July 28, 2003

Via Express Mail

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: *Filing of New Utility Patent Application Entitled*
"PREPARATION OF BROMINE-CONTAINING
HYDROXY-FUNCTIONAL COPOLYMERS"
Our ref.: 210-007-2

We hereby submit the following documents in connection with the filing of this new continuation utility patent application entitled, "PREPARATION OF BROMINE-CONTAINING HYDROXY-FUNCTIONAL COPOLYMERS," inventors Herry (Z-X) Chen, an individual residing at 2323 East Rawhide, Gilbert, Arizona 85296, and Edward J. Lyszczyk, an individual residing at 4457 Liveoak Drive, Claremont, California 91711:

1. Utility Patent Application Transmittal (1 sheet);
2. Specification and claims (36 pages) including 2 independent claims and 30 claims total, with Cover Sheet (1 sheet);
3. Abstract of the Disclosure (1 page);
4. Declaration and Power of Attorney from parent application Serial No. 09/819,310 (1 page); and
5. Postcard for receipt acknowledgment.

Commissioner for Patents

July 28, 2003

Page 2

Please accord this application a serial number and the filing date of **July 28, 2003**.

This application is a continuation of U.S. Application Serial No. 09/819,310, filed on March 27, 2001.

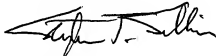
An Assignment conveying rights in the invention to assignee Southwest Distributing Company is on file in connection with the parent application, and it applies to this application as well. A copy is attached.

Please send all correspondence to the following address:

Sullivan Law Group
1850 North Central Avenue
Suite 1140
Phoenix, Arizona 85004-4586

Please contact us if you have any questions or require anything further at this time.

Sincerely,

A handwritten signature in black ink, appearing to read "Stephen T. Sullivan", with a stylized flourish at the end.

Stephen T. Sullivan
Reg. No. 32,444

Enclosures

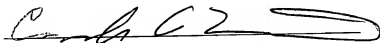
Commissioner for Patents
July 28, 2003
Page 3

CERTIFICATE OF EXPRESS MAIL

Express Mail Label No. EV 260573372 US

Date of Deposit July 28, 2003

I hereby certify that this transmittal letter and other papers listed above are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed, MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in black ink, appearing to be 'C. H. C. 2', followed by a horizontal line.

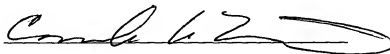
EXPRESS MAIL CERTIFICATE
UTILITY PATENT APPLICATION TRANSMITTAL

**“PREPARATION OF BROMINE-CONTAINING HYDROXY-
FUNCTIONAL COPOLYMERS”**

Express Mail Label No. EV 260573372 US

Date of Deposit July 28, 2003

I hereby certify that the attached Utility Patent Application Transmittal is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in black ink, appearing to read "Conley", written over a horizontal line.

PATENT APPLICATION
OF
HERRY (Z-X) CHEN AND EDWARD J. LYSZCZEK
FOR
"PREPARATION OF BROMINE-CONTAINING
HYDROXY-FUNCTIONAL COPOLYMERS"

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EY 260573372 US
Date of Deposit July 28, 2003

I hereby certify that the attached continuation patent application (specification, including claims and abstract) is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

